



Ashika Stock Broking Limited

U65921WB1994PLC217071

Registered office : Trinity, 226/1, A.J.C. Bose Road,
7th Floor, Kolkata - 700020
Tel : (033) 40102500, Fax : (033) 40102543,
Email: secretarial@ashikagroup.com
Website : www.ashikagroup.com

Annexure "B" to the Board's Report

Form No. MGT-9

EXTRACT OF ANNUAL RETURN As on the financial year ended on 31.03.2020

[Pursuant to section 92(3) of the Company Act, 2013 and rule 12(1) of the Company (Management and Administration) Rules, 2014]

1. CIN:	U65921WB1994PLC217071
2. Registration Date:	12/08/1994
3. Name of the Company	Ashika Stock Broking Ltd.
4. Category / Sub-Category of the Company:	Company limited by shares/Indian non government company
5. Address of the Registered office and contact details:	Trinity, 226/1, A.J.C. Bose Road, 7th Floor Kolkata - 700020, Tel : (033) 40102500, fax : (033) 4010 2543 Email id- secretarial@ashikagroup.com
6. Whether listed company Yes / No	NO
7. Name, Address and Contact details of Registrar and Transfer Agent, if any -	Niche Technologies Pvt Ltd 7th Floor, Room, No. 7A & 7B,3A, Auckland Rd, Elgin, Kolkata, West Bengal 700017 Tel : (033) 22343576

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Brokerage Income , Depository and related services	6612	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANY -

S. No	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY / ASSOCIATE	% of shares held	Applicable section
1	Ashika Global Securities Pvt. Ltd. Trinity, 226/1, A.J.C. Bose Road, 7th Floor, Kolkata - 700020	U65929WB1995PTC069046	HOLDING	100%	2(46)
2	Ashika Stock Broking IFSC Pvt Ltd Unit No 421, 4th Floor, Signature Building Block No 13-B, Zone 1, GIFT SEZ GIFT SEZ, Gandhinagar 382355, Gujarat	U65929GJ2016PTC094597	SUBSIDIARY	100%	2(87)



Ashika Stock Broking Limited

U65921WB1994PLC217071

Registered office : Trinity, 226/1, A.J.C. Bose Road,

7th Floor, Kolkata – 700020

Tel : (033) 40102500, Fax : (033) 40102543,

Email: secretarial@ashikagroup.com

Website : www.ashikagroup.com

								year
1	Ashika Global Securities Pvt Ltd jointly with Mr. Daulat Jain	100	0.0009	Nil	100	0.0009	Nil	-
2	Ashika Global Securities Pvt Ltd jointly with Mrs. Roshni Jain	100	0.0009	Nil	100	0.0009	Nil	-
3	Ashika Global Securities Pvt Ltd jointly with Mr.Pawan Jain	100	0.0009	Nil	100	0.0009	Nil	-
4	Ashika Global Securities Pvt Ltd Jointly with Mrs. Shashi Jain	100	0.0009	Nil	100	0.0009	Nil	-
5	Ashika Global Securities Pvt Ltd jointly with Mrs Kanchan Devi Jain	100	0.0009	Nil	100	0.0009	Nil	-
6	Ashika Global Securities Pvt Ltd Jointly with Mr Puranmal Jain	100	0.0009	Nil	100	0.0009	Nil	-
7	Ashika Global Securities Pvt Ltd	11549400	99.9946	Nil	11549400	99.9946	Nil	-
	Total	11550000	100	Nil	11550000	100	Nil	100

(iii) Change in Promoters' Shareholding

Sl. No.		Shareholding at the beginning of the year(01/04/2019)		Cumulative Shareholding during the year 31/03/2020)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year-	11550000	100	11550000	100
2.	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)	There is no change in shareholding during the year under review.			
3.	At the end of the year-	11550000	100	11550000	100

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	For Each of the Top 10 Shareholders				



Ashika Stock Broking Limited

U65921WB1994PLC217071

Registered office : Trinity, 226/1, A.J.C. Bose Road,

7th Floor, Kolkata – 700020

Tel : (033) 40102500, Fax : (033) 40102543,

Email: secretarial@ashikagroup.com

Website : www.ashikagroup.com

	At the beginning of the year	No change in shareholding during the year
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)	
	At the End of the year(or on the date of separation, if separated during the year)	

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	For each of the Directors and KMP				
	At the beginning of the Year Director & KMP				
	• Daulat Jain	Nil	Nil	Nil	Nil
	• Bajrang Lal Banthia	Nil	Nil	Nil	Nil
	• Amit Jain	Nil	Nil	Nil	Nil
	• Babulal Bafna	Nil	Nil	Nil	Nil
	• Sonu Jain	Nil	Nil	Nil	Nil
	• Nawal Kishore Kedia (CS)	Nil	Nil	Nil	Nil
	• Bishal Gupta (CFO)	Nil	Nil	Nil	Nil
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)	NIL			
	At the End of the Year Director & KMP				
	• Daulat Jain	Nil	Nil	NIL	Nil
	• Bajrang Lal Banthia	Nil	Nil	Nil	Nil
	• Amit Jain	Nil	Nil	Nil	Nil
	• Babulal Bafna	Nil	Nil	Nil	Nil
	• Sonu Jain	Nil	Nil	Nil	Nil
	• Nawal Kishore Kedia (CS)	Nil	Nil	Nil	Nil
	• Bishal Gupta (CFO)	Nil	Nil	Nil	Nil



Ashika Stock Broking Limited

U65921WB1994PLC217071

Registered office : Trinity, 226/1, A.J.C. Bose Road,
7th Floor, Kolkata - 700020

Tel : (033) 40102500, Fax : (033) 40102543,

Email: secretarial@ashikagroup.com

Website : www.ashikagroup.com

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Amount in Rs.)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	47,93,12,845	Nil	Nil	47,93,12,845
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	47,93,12,845	Nil	-	47,93,12,845
Change in Indebtedness during the financial year				
• Addition	8,02,22,38,225	16,35,00,000	Nil	8,18,57,38,225
• Reduction	8,29,04,51,070	16,35,00,000		8,45,39,51,070
Net Change	(26,82,12,845)	-	-	(26,82,12,845)
Indebtedness at the end of the financial year				
i) Principal Amount	21,11,00,000	-		21,11,00,000
ii) Interest due but not paid	-	50,301	Nil	50,301
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	21,11,00,000	50,301	-	21,11,50,301

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Amount in Rs)

Sl. no.	Particulars of Remuneration	Name of MD/WTD/Manager	Total Amount
		Daulat Jain, MD	Rs.
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,25,00,004	1,25,00,004
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit		
	- Other, specify		
5	Other, please specify	-	-
	Total (A)	1,25,00,004	1,25,00,004
	Ceiling as per the Act	As per section 197 & 198 read with schedule V, we have complied with the ceiling limits prescribed under companies Act, 2013.	



Ashika Stock Broking Limited

U65921WB1994PLC217071

Registered office : Trinity, 226/1, A.J.C. Bose Road,

7th Floor, Kolkata – 700020

Tel : (033) 40102500, Fax : (033) 40102543,

Email: secretarial@ashikagroup.com

Website : www.ashikagroup.com

Remuneration to other directors:

(Amount in Rs)

Sl. no	Particulars of Remuneration	Total Amount				
		Babulal Bafna	Bajrang Lal Banthia	Amit Jain	Sonu Jain	Total
1.	Independent Directors <ul style="list-style-type: none"> • Fee for attending board meeting • Commission • Others, please specify 	No Remuneration, commission or sitting fees are paid to Independent & Non Executive Directors				
	Total(1)					
2.	Other Non-Executive Directors <ul style="list-style-type: none"> • Fee for attending board meetings • Commission • Others, please specify 					
	Total (2)					
	Total (B)=(1+2)					
	Total Managerial Remuneration					
	Overall Ceiling as per the Act					

REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

(Amount in Rs)

Sl. no	Particulars of Remuneration	Key Managerial Personnel			
		Daulat Jain, MD	Nawal Kishore Kedia, CS	Bishal Gupta , CFO	Total (Rs)
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary u/s 17(3) Income-tax Act, 1961	125,00,004	12,59,844	14,55,390	1,52,15,238
2.	Stock option	Nil	Nil	Nil	Nil
3.	Sweat Equity	Nil	Nil	Nil	Nil
4.	Commission - as % of profit - Other, specify	Nil	Nil	Nil	Nil
5.	Other, please specify	Nil	Nil	Nil	Nil
	Total (A)	1,25,00,004	12,59,844	14,55,390	1,52,15,238

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give Details)



Ashika Stock Broking Limited

U65921WB1994PLC217071

Registered office : Trinity, 226/1, A.J.C. Bose Road,

7th Floor, Kolkata - 700020

Tel : (033) 40102500, Fax : (033) 40102543,

Email: secretarial@ashikagroup.com

Website : www.ashikagroup.com

	fees imposed
A. COMPANY	
Penalty	None
Punishment	
Compounding	
B. DIRECTORS	
Penalty	None
Punishment	
Compounding	
C. OTHER OFFICERS IN DEFAULT	
Penalty	None
Punishment	
Compounding	

Place: Kolkata
Date: 30.07.2020

For and on behalf of the Board of Directors
For Ashika Stock Broking Ltd

Sd/-

(Daulat Jain)
Managing Director
DIN: 00040088

Sd/-

(Amit Jain)
Director
DIN: 00040222