

# **ASHIKA STOCK BROKING LIMITED**

CIN: U65921WB1994PLC217071

MEMBER: NSE • BSE • MSEI • ICEX • MCX • NCDEX • CDSL • NSDL

#### Annexure C

# **Investor Complaints Data (NSDL)**

Data for Apr'2023

| S.N | Received From              | Carried<br>forward from<br>previous<br>month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved | Pending at the end of the month         |   | Average<br>Resolution<br>time (In<br>days) |
|-----|----------------------------|--|------------------------------------|------------------|----------|---|---|--|
|     |                            |  |                                    |                  |          | Pending<br>for less<br>than<br>3 months | Pending<br>for more<br>than<br>3 months |  |
| 1   | Directly from<br>Investors | 0  | 0                                  | 0                | 0        | 0                                       | 0                                       | NA   |
| 2   | SEBI (SCORES)              | 0  | 0                                  | 0                | 0        | 0                                       | 0                                       | NA   |
| 3   | Depositories               | 0  | 0                                  | 0                | 0        | 0                                       | 0                                       | NA   |
| 4   | Other Sources (if any)     | 0  | 0                                  | 0                | 0        | 0                                       | 0                                       | NA   |
| 5   | Grand Total                | 0  | 0                                  | 0                | 0        | 0                                       | 0                                       | NA   |

## **Trend of monthly disposal of complaints**

| S.N | Month       | Carried forward from previous month | Received | Resolved | Pending |
|-----|-------------|-------------------------------------|----------|----------|---------|
| 1   | May'2022    | 0                                   | 1        | 1        | 0       |
| 2   | June'2022   | 0                                   | 1        | 0        | 1       |
| 3   | July'2022   | 1                                   | 0        | 1        | 0       |
| 4   | Aug'2022    | 0                                   | 0        | 0        | 0       |
| 5   | Sep'2022    | 0                                   | 0        | 0        | 0       |
| 6   | Oct'2022    | 0                                   | 0        | 0        | 0       |
| 7   | Nov'2022    | 0                                   | 0        | 0        | 0       |
| 8   | Dec'2022    | 0                                   | 0        | 0        | 0       |
| 9   | Jan'2023    | 0                                   | 1        | 1        | 0       |
| 10  | Feb'2023    | 0                                   | 0        | 0        | 0       |
| 11  | Mar'2023    | 0                                   | 0        | 0        | 0       |
| 12  | Apr'2023    | 0                                   | 0        | 0        | 0       |
|     | Grand Total | 1                                   | 3        | 3        | 1       |

## **Trend of Annual Disposal of complaints**

| S.N | Year        | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-----|-------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1   | 2017-2018   | 0                                  | 1                        | 1                        | 0                              |
| 2   | 2018-2019   | 0                                  | 0                        | 0                        | 0                              |
| 3   | 2019-2020   | 0                                  | 2                        | 2                        | 0                              |
| 4   | 2020-2021   | 0                                  | 1                        | 1                        | 0                              |
| 5   | 2021-2022   | 0                                  | 2                        | 2                        | 0                              |
| 6   | 2022-2023   | 0                                  | 5                        | 5                        | 0                              |
| 7   | 2023-2024   | 0                                  | 0                        | 0                        | 0                              |
|     | Grand Total | 0                                  | 11                       | 11                       | 0                              |

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SEBI Registration No.:

NSE/BSE/MSEI/ICEX/MCX/NCDEX : INZ000169130 CDSL - IN-DP-533-2020, DPID : 12034500

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